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PATENT

Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In 1	re A	App]	licat	ion	of:
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Maximilian A. Biberger et al.

Serial No.: 09/704,641

Filed: November 1, 2000

For:

METHOD AND APPARATUS FOR

SUPERCRITICAL PROCESSING

OF A WORKPIECE

Group Art Unit: 1763 Examiner: Ram N. Kacker

TRANSMITTAL LETTER

162 North Wolfe Road Sunnyvale, California 94086 (408) 530-9700

Customer Number 28960

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed please find a request for consideration of information disclosure statements mailed March 16, 2001, October 30, 2002, October 1, 2003, and June 24, 2004 for filing with the U.S. Patent and Trademark Office. Also, attached are copies of the information disclosure statements as filed on the above dates.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: October 5, 2004

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 10-5-04 By

- 1 -



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	
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METHOD AND APPARATUS FOR SUPERCRITICAL PROCESSING

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Group Art Unit: 1763

Examiner: Ram N. Kackar

REQUEST FOR CONSIDERATION OF INFORMATION DISCLOSURE

STATEMENTS MAILED March 16, 2001, October 30, 2002, and October 1, 2003, and ELECTRONICALLY FILED June 24,

2004

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner has acknowledged all the information disclosure statements filed in this case except those (1) mailed on March 16, 2001, (2) mailed on October 30, 2002, (3) mailed on October 1, 2003, and (4) electronically filed on June 24, 2004 (EFS ID 63275). For the Examiner's convenience, the Applicants have enclosed a copy of (1) each of the mailed information disclosure statements with its accompanying Form PTO-1449 and stamped return postcard and (2) the Acknowledgment Receipt for the electronically filed IDS. The Applicants would greatly appreciate acknowledgment of these information disclosure statements by having the enclosed Forms PTO-1449 and the Acknowledgment Receipt initialed and returned.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Reg. No.: 32,571

Attorneys for Applicants

Dated:___/O_

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Filed: November 1, 2000

For: METHOD AND APPARATUS FOR SUPERCRITICAL PROCESSING

OF A WORKPIECE

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner:
TRANSMITTAL LETTER

260 Sheridan Avenue, Suite 420 Palo Alto, California 94306

(650)833-0160

Group Art Unit:

Sir:

Enclosed please find an Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3-14-01

Thomas B. Haverstock

Reg. No.: 32,571 Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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Serial No.: Oq Doy but In the Matter of The Applica Date Mailed: The following has been received in the	<u> </u>	ite:
□ Design Patent Application Pages □ Utility patent Application Pages □ Prov. Patent Application Pages □ Declaration/Oath □ Power of Attorney □ Assignment	☐ Form PTO-1533 (Not. of Missing Parts) ☐ Form PTO-165B (issue Fee Trans) & dup ☐ Form PTO-1050 (Cert. of Correction) ☐ Maintenance Fee Transmittal Form ☐ Reexamination Request ☐ Notice of Appeal ☐ Affidavit/Declaration ☐ Copy of Filing Receipt ☐ Req. for Corr of: ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	Transmittal Letter Duplicate



Attorney Docket No.: <u>SSI-00700</u>

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Maximilian A. Biberger et al.

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Group Art Unit:

Examiner:

INFORMATION DISCLOSURE - STATEMENT

260 Sheridan Avenue, Suite 420 Palo Alto, California 94306

(650)833-0160

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 2,617,719;
- U.S. Patent No. 3,890,176;
- U.S. Patent No. 3,900,551;
- U.S. Patent No. 4,029,517;
- U.S. Patent No. 4,091,643;
- U.S. Patent No. 4,341,592;
- U.S. Patent No. 4,474,199;
- U.S. Patent No. 4,475,993;
- U.S. Patent No. 4,601,181;
- U.S. Patent No. 4,693,777;
- U.S. Patent No. 4,749,440;
- U.S. Patent No. 4,788,043;

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

3/10/01 By BLOW DI

Attorney Docket No.: PATENT SSI-00700

- U.S. Patent No. 4,838,476;
- U.S. Patent No. 4,865,061;
- U.S. Patent No. 4,879,004;
- U.S. Patent No. 4,923,828;
- U.S. Patent No. 4,924,892;
- U.S. Patent No. 4,944,837;
- U.S. Patent No. 4,960,140;
- U.S. Patent No. 4,983,223;
- U.S. Patent No. 5,011,542;
- U.S. Patent No. 5,013,366;
- U.S. Patent No. 5,068,040;
- U.S. Patent No. 5,105,556;
- U.S. Patent No. 5,143,103;
- U.S. Patent No. 5,158,704;
- U.S. Patent No. 5,174,917;
- U.S. Patent No. 5,185,058;
- U.S. Patent No. 5,185,296;
- U.S. Patent No. 5,193,560;
- U.S. Patent No. 5,213,619;
- U.S. Patent No. 5,215,592;
- U.S. Patent No. 5,225,173;
- U.S. Patent No. 5,236,602;
- U.S. Patent No. 5,237,824;
- U.S. Patent No. 5,261,965;
- U.S. Patent No. 5,266,205;
- U.S. Patent No. 5,267,455;
- U.S. Patent No. 5,274,129;
- U.S. Patent No. 5,288,333;
- U.S. Patent No. 5,290,361;
- U.S. Patent No. 5,294,261;
- U.S. Patent No. 5,304,515;
- U.S. Patent No. 5,306,350;
- U.S. Patent No. 5,313,965;

PATENT

Attorney Docket No.: SSI-00700

- U.S. Patent No. 5,316,591;
- U.S. Patent No. 5,334,332;
- U.S. Patent No. 5,334,493;
- U.S. Patent No. 5,337,446;
- U.S. Patent No. 5,352,327;
- U.S. Patent No. 5,355,901;
- U.S. Patent No. 5,356,538;
- U.S. Patent No. 5,368,171;
- U.S. Patent No. 5,370,740;
- U.S. Patent No. 5,377,705;
- U.S. Patent No. 5,401,322;
- U.S. Patent No. 5,403,621;
- U.S. Patent No. 5,417,768;
- U.S. Patent No. 5,456,759;
- U.S. Patent No. 5,470,393;
- U.S. Patent No. 5,482,564;
- U.S. Patent No. 5,494,526;
- U.S. Patent No. 5,500,081;
- U.S. Patent No. 5,501,761;
- U.S. Patent No. 5,514,220;
- U.S. Patent No. 5,522,938;
- U.S. Patent No. 5,526,834;
- U.S. Patent No. 5,533,538;
- U.S. Patent No. 5,547,774;
- U.S. Patent No. 5,550,211;
- U.S. Patent No. 5,580,846;
- U.S. Patent No. 5,589,105;
- U.S. Patent No. 5,632,847;
- U.S. Patent No. 5,635,463;
- U.S. Patent No. 5,637,151;
- U.S. Patent No. 5,641,887;
- U.S. Patent No. 5,656,097;
- U.S. Patent No. 5,665,527;

- U.S. Patent No. 5,679,169;
- U.S. Patent No. 5,679,171;
- U.S. Patent No. 5,683,977;
- U.S. Patent No. 5,688,879;
- U.S. Patent No. 5,700,379;
- U.S. Patent No. 5,726,211;
- U.S. Patent No. 5,739,223;
- U.S. Patent No. 5,783,082;
- U.S. Patent No. 5,798,438;
- U.S. Patent No. 5,804,607;
- U.S. Patent No. 5,868,856;
- U.S. Patent No. 5,868,862;
- U.S. Patent No. 5,872,257;
- U.S. Patent No. 5,873,948;
- U.S. Patent No. 5,881,577;
- U.S. Patent No. 5,908,510;
- U.S. Patent No. 5,944,996;
- U.S. Patent No. 5,976,264;
- U.S. Patent No. 5,980,648;
- U.S. Patent No. 6,017,820;
- U.S. Patent No. 6,024,801;
- European Publication No. EP 0 726 099 A2;
- European Publication No. EP 0 587 168 A1;
- European Publication No. EP 0 572 913 A1;
- European Publication No. EP 0 536 752 A2;
- European Publication No. EP 0 283 740 A2;
- European Publication No. EP 0 302 345 A2;
- European Publication No. EP 0 370 233 A1;
- European Publication No. EP 0 391 395;
- Japanese Patent Abstract JP 2-304941;
- Japanese Patent Abstract JP 727711;
- Japanese Patent Abstract JP 1045131;
- Japanese Patent Abstract JP 2-148841;

Attorney Docket No.: <u>PATENT</u> SSI-00700

- Japanese Patent Abstract JP 7142333;
- Japanese Patent Abstract JP 8222508;
- Japanese Patent Abstract JP 60-192333;
- Japanese Patent Abstract JP 62-125619;
- PCT Publication No. WO 90/06189;
- PCT Publication No. WO 90/13675;
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- "Final report on the safety assessment of propylene carbonate," J. American College of Toxicology, Vol. 6, No. 1, pp 23-51.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3-14-01

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

FORM PTO-1449 Commerce (Modified)

U.S. Department of

Patent and Trademark Office

Attorney Docket No.: SSI-00700

Applicant: Maximilian A. Biberger et al.

Serial No.: 09/704,641

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)

Group Art Unit:

(37 CFR § 1.98(b)) Filing Date: November 1, 2000 Group Art Unit:					it:		
	· · · · · · · · · · · · · · · · · · ·			U.S. PATENT DOCUMENTS			
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	2,617,719	11/11/52	Stewart	23	312	12/29/50
	AB	3,890,176	06/17/75	Bolon	156	2	12/17/73
	AC	3,900,551	08/19/75	Bardoncelli et al.	423	9	03/02/72
	AD	4,029,517	06/14/77	Rand	134	11	03/01/76
	AE	4,091,643	05/30/78	Zucchini	68	18	02/17/77
	AF	4,341,592	07/27/82	Shories et al.	156	643	08/04/75
	AG	4,474,199	10/02/84	Blaudszun	134	105	11/09/82
	AH	4,475,993	10/09/84	Blander et al.	204	64T	08/15/83
	AI	4,601,181	07/22/86	Privat	68	18	11/17/83
	AJ	4,693,777	09/15/87	Hazano et al.	156	345	11/27/85
	AK	4,749,440	06/7/88	Blackwood et al.	156	646	05/12/87
	AL	4,788,043	11/29/88	Kagiyama et al.	422	292	04/17/86
	AM	4,838,476	06/13/89	Rahn	228	180.1	11/12/87
	AN	4,865,061	09/12/89	Fowler et al.	134	108	07/22/83
	AO	4,879,004	11/07/89	Oesch et al.	203	89	05/04/88
	AP	4,923,828	05/08/90	Gluck et al.	437	225	08/07/89
	AQ	4,924,892	05/15/90	Kiba et al.	134	123	07/28/88
	AR	4,944,837	07/31/90	Nishikawa et al.	156	646	02/28/89
	AS	4,960,140	10/02/90	Ishijima et al.	134	31	11/27/85
	АТ	4,983,223	01/08/91	Gessner	134	25.4	10/24/89
	AU	5,011,542	04/30/91	Weil	134	38	07/21/88
	AV	5,013,366	05/07/91	Jackson et al.	134	1	12/07/88
	AW	5,068,040	11/26/91	Jackson	210	748	04/03/89
	AX	5,105,556	04/21/92	Kurokawa et al.	34	12	08/09/88
	AY	5,143,103	09/01/92	Basso et al.	134	98.1	01/04/91
	AZ	5,158,704	10/27/92	Fulton et al.	252	309	07/25/90
	BA	5,174,917	12/29/92	Monzyk	252	60	07/19/91
	ВВ	5,185,058	02/09/93	Cathey, Jr.	156	656	01/29/91
	вс	5,185,296	02/09/93	Morita et al.	437	229	04/24/91
	BD	5,193,560	03/16/93	Tanaka et al.	134	56R	06/24/91
	BE	5,213,619	05/25/93	Jackson et al.	134	· 1	11/30/89
	BF	5,215,592	06/01/93	Jackson	134	1	01/22/91
	BG	5,225,173	07/06/93	Wai	423	2	10/25/91
	ВН	5,236,602	08/17/93	Jackson	210	748	01/28/91
	BI	5,237,824	08/24/93	Pawliszyn	62	51.1	10/12/90
	ВЈ	5,261,965	11/16/93	Moslehi	134	1	08/28/92
xaminer:				Date Considered:		•	

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 Commerce (Modified)

U.S. Department of

Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)

Attorney Docket No.: SSI-00700

Serial No.: 09/704,641

Applicant: Maximilian A. Biberger et al.

Filing Date: November 1, 2000

Group Art Unit:

Examiner		Serial / Patent	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
Initials		Number					
	BK	5,266,205	11/30/93	Fulton et al.	210	639	07/01/92
<u></u>	BL	5,267,455	12/07/93	Dewees et al.	68	5	07/13/92
	BM	5,274,129	12/28/93	Natale et al.	549	349	06/12/91
	BN	5,288,333	02/22/94	Tanaka et al.	134	31	07/29/92
	BO	5,290,361	03/01/94	Hayashida et al.	134	2	01/23/92
	BP	5,294,261	03/15/94	McDermott et al.	134	7	11/02/92
·	BQ	5,304,515	04/19/94	Morita et al.	437	231	08/07/92
	BR	5,306,350	04/26/94	Hoy et al.	134	22	04/27/92
	BS	5,313,965	05/24/94	Palen	134	61	06/01/92
	вт	5,316,591	05/31/94	Chao et al.	134	34	08/10/92
	BU	5,334,332	08/02/94	Lee	252	548	07/09/92
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	BY	5,355,901	10/18/94	Mielnik et al.	134	105	10/27/92
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	CA	5,368,171	11/29/94	Jackson	134	147	07/20/92
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	СС	5,377,705	01/03/95	Smith, Jr. et al.	134	95.3	09/16/93
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-	СТ	5,589,105	12/31/96	DeSimone et al.	252	351	05/18/95

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Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: SSI-00700

Serial No.: 09/704,641

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)

Applicant: Maximilian A. Biberger et al.

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Attorney Docket No.: SSI-00700

Serial No.: 09/704,641

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